

SECTION 00 11 53 – REQUEST FOR QUALIFICATIONS

PART 1 - GENERAL

1.1 GENERAL

- A. The City of Dothan, hereby referred to as Owner, is soliciting qualification statements from interested contractors experienced in the rehabilitation of large diameter gravity sanitary sewer pipelines. The submitted qualification statements will be utilized to develop a list of qualified bidders of not less than three firms. Bids will be accepted only from prequalified bidders. The contract to be bid will include rehabilitation of approximately 48,000 linear feet of 12-inch to 27-inch sanitary sewer pipe by the cured-in-place pipe (CIPP) method, the rehabilitation of 213 sanitary sewer manholes, and all other related appurtenances.
- B. It is expected that contractors will be prequalified in only one category: (1) CIPP rehabilitation using water cure. The prime contractor is intended to be the CIPP contractor who will employ a specialty subcontractor to perform the manhole rehabilitation. Information related to the specialty subcontractor is not required to be submitted with the prequalification package.
1. Option 1 – It is preferred that specialty subcontractors submit their qualifications to the Engineer per Section 00 45 13 for consideration up to 2 weeks prior to the bid opening date. In this case, the Engineer will notify bidders via Addendum if the specialty subcontractor is approved.
 2. Option 2 – The prime contractor can submit the specialty subcontractor's qualifications per Section 00 45 13 with their bid proposal. In this case, it is the prime contractor's responsibility to verify the qualifications of the specialty subcontractor before the time of bid. Subcontractor's failure to meet the required qualifications may be cause for rejecting the prime bid.
 3. In either case, specialty subcontractors must be approved by the Engineer.
- C. The purpose of the Prequalification Procedure described in this Document is to provide Owner with a mechanism to evaluate and determine whether Prospective Bidders are qualified to participate in the construction of Project. Evaluation will be limited to that office of the Prospective Bidder that is proposed to perform the Work.
- D. Prospective Bidders as General Contractors are required to comply with these Requirements for Prequalification. Only those Prospective Bidders who have complied with the Requirements for Prequalification and have been determined to be qualified will be eligible to submit construction bids on Project. An approved Contractor must be utilized for all work in the three categories. Subcontractors performing work in any of the categories must be prequalified.
- E. Applications for prequalification for bidding will be received by Poly, Inc. at 1935 Headland Avenue, Dothan, AL 36303 until 2:00 PM local time on October 3, 2024. A mailed prequalification package shall be addressed to Poly, Inc. at the same address. The envelope containing the application must be clearly marked:

APPLICATION FOR BIDDER'S PREQUALIFICATION
OMUSSEE TRUNK LINE (INSIDE RCC) REHABILITATION
CITY OF DOTHAN, AL
BID NUMBER 24-085
OPENING DATE

- F. Documentation provided must be specific and the data given must be clear and comprehensive. Documentation provided must pertain specifically to the entity that will bid for and construct the project, not an affiliated company, parent firm or entity. The Documentation provided must contain a statement as to which category a bidder is requesting prequalification. Bidders requesting prequalification in more than one category must submit Technical Experience Documentation in accordance with this Section for each category for which prequalification is requested.
- G. No interpretation of the meaning of the Application or other prequalification documents will be made to any Applicant orally. Every request for such interpretation should be made in writing and addressed to Poly, Inc., Attention: Kenny Sanders, 1935 Headland Ave., Dothan, AL 36303 (e-mail: ksanders@poly-inc.com), and to be given consideration must be received at least five business days prior to the date fixed for Application submittal. Any and all such interpretation and any supplemental instructions will be in the form of written Addenda to the Application which, if issued, will be mailed, shipped, faxed or e-mailed to all prospective Applicants (at the respective addresses furnished) prior to the date fixed for receiving Applications. Failure of Applicants to receive or acknowledge any Addendum shall not relieve them of any obligation under the Application. All Addenda shall become part of the Application.
- H. No bid shall be opened unless the Bidder has been deemed prequalified by the Owner.
- I. Submit the complete application and supporting documentation in digital format (PDF) on a USB drive or via file share site to the attention of Kenny Sanders, ksanders@poly-inc.com. Reference the project in the subject line of the email. PDF files shall be in a single binder that are organized, bookmarked, and easy to navigate and follow. No hard copies are required. It is the Contractor's responsibility to confirm that the information has been received by the Engineer.
- J. The Owner specifically reserves the right to reject any and all submittals, to waive any informalities, to prepare Instructions to Bidders, prepare Construction Contracts so that the best interests of the Owner are served.

1.2 PREQUALIFICATION DETERMINATION

- A. Applicants may not be deemed pre-qualified if:
 - 1. The Applicant fails to submit a responsive Application, including failing to provide all required documentation.
 - 2. The Applicant fails to meet all the Technical Experience Requirements.
 - 3. The Applicant fails to meet all the Corporate Experience Requirements.
 - 4. The Applicant fails to state which construction category for which he is requesting prequalification.
 - 5. Reasonable grounds exist that Applicant is involved in collusion among other applicants.

6. The Applicant or any of its principals is currently disbarred from bidding on public entity work in any state.
7. Final determination of Applicant's pre-qualification status rests solely with the Owner.

1.3 QUALIFICATION CRITERIA

A. Technical Experience Requirements:

1. Descriptions of a minimum of four (4) comparable projects in CIPP (water cure) rehabilitation of medium to large diameter ($\geq 15''$ - $30''$) gravity sanitary sewer each with construction costs of $\geq \$8,000,000$.
2. Projects must have been completed in the last 5 years. Projects under construction should be listed but may not be considered as one of the 4 successful projects.
3. The Contractor must have at least 5 years of experience using the exact named product proposed and have installed at least 300,000 linear feet of the exact named product proposed.
4. The CIPP system manufacturer must have a proven performance record of 1,000,000 linear feet installed of the exact name-brand product bid in the United States.
5. Include contact names and telephone numbers from the Contractor's last ten (10) projects.
6. At least sixty (60) percent of the labor-related items on each Project must have been completed by the Applicant's own work force.
7. Comparable projects include those of similar scope and complexity to this contract including extensive bypass pumping. The Engineer's decision on whether a project is comparable will be final.

B. Corporate Experience Requirements:

1. Evidence of a minimum of five (5) years in business under the same corporate name. If corporation has been in business less than five (5) years, please provide a detailed explanation. If corporation has been in business for five (5) years but has had a name change, please provide a detailed explanation.
2. Availability of personnel and project scheduling techniques.
3. Recent local working experience (SE United States & State of Alabama).

1.4 REQUIRED DOCUMENTATION

A. Technical Experience:

1. For each of the four (4) projects submitted as documentation of meeting the Technical Experience Criteria, provide all the information specified in Paragraphs 2 through 12 below.
2. Corporate name of Contractor executing construction contract.
3. Name of project as bid, name of Owner, name of Design Firm, Project Location, and name of Project Superintendent.
4. Construction Administration Firm, if different than Design Firm.

5. Name, position or title, address and telephone number of contact person currently employed by each of the above.
6. Percent of labor-related items performed by the Applicant's own work force.
7. A complete description including sizes, quantities, structures, and major items of equipment.
8. Name and contact information for major subcontractors, including, but not limited to, clearing and grubbing, point repairs, manhole rehabilitation, bypass pumping, etc. If work was self-performed, please indicate such.
9. Name and contact information of representatives of major material suppliers.
10. The bid amount and final cost to Owner, with an explanation of cost changes, if any, including change orders.
11. The original contract duration in days and the final contract duration in days from Notice to Proceed to Substantial Completion.
12. For this project, provide experience of proposed on-site project manager and/or field superintendent who would be involved in this project. Experience can be from previous employment but must be pertinent to technical characteristics listed under Technical Experience Criteria.

B. Corporate Experience

1. All information listed below is to be provided by the Applicant regarding Corporate Experience. If the information is not provided, or if the detailed explanation is missing or considered incomplete or unacceptable, the Applicant may not be deemed prequalified.
2. Provide the name under which the Applicant will submit a bid and execute a contract. Provide name, address and telephone number of Applicant's corporate headquarters, regional office, subsidiaries and affiliates, if any. Provide name, title, and biographical summary of pertinent corporate officers. Provide a statement that Applicant has operated under the current corporate name for the last five years. Additionally, provide the Applicant's date and state of incorporation, and status to do business in the state of Alabama. If applicant has been incorporated less than five (5) years, please provide detailed explanation.
3. Provide a statement of bonding capacity, name of Surety Company, with agent contact persons, and telephone numbers and confirmation that the Surety is currently listed in the U.S. Department of the Treasury Circular 570. Define current maximum approved bonding program, allowable single project limit, and current bonded work backlog. (The estimated construction cost of this project is in the range of \$8 to \$10 million.) The successful Bidder for this Contract as General Contractor will be required to furnish a satisfactory Performance Bond and Payment Bond each in the amount of 100 percent of the Bid.
4. Provide proof of licensure in the State of Alabama.
5. Provide a summary of insurance claims for the past five (5) years.
6. Provide a statement that Applicant has not defaulted on a project nor failed to complete a project within the past ten years. If this is not the case, explain and provide project contact information.
7. Provide a statement that Applicant has not filed for bankruptcy or been judged bankrupt at any time over the past ten years. If this is not the case, explain.
8. Provide a statement that Applicant has not been involved in liquidated damages in the past five years. If this is not the case, explain and provide contact information.

9. Provide a statement that Applicant has not been involved in schedule overruns on any project in the past five years. If this is not the case, explain and provide contact information.
10. Provide a list of all projects completed over the last five years, with a construction contract amount in excess of \$5 million. Include Project Name, Owner & Contact information, Final Contract Cost, and the Date Contract completed.
11. Provide a statement that the Applicant has never abandoned a project, even temporarily, during a dispute. If this is not the case, please explain and provide contact information.
12. Provide a statement whether Applicant has or has not been involved in litigation as a plaintiff against an Owner, Design Firm or Construction Contract Administration Firm, or served the Owner with a claim for additional compensation prepared by an attorney or a claims consultant, excluding routine change order requests, in the past five years. If Applicant has, explain and provide contact information. List any lawsuits or administrative actions to which the Applicant is currently a party or has been a party (either as a plaintiff or defendant) during the past ten years. For each suit, list all parties and indicate whether any party was a bonding company, insurance company, an Owner or other. Identify the project giving rise to the suit or administrative action and explain the basis of the claim and whether a settlement was reached or a judgment entered into for or against the Applicant or the Applicant's bonding company or insurance company.
13. Provide a statement that the Applicant, as well as all of its affiliated companies, is not involved in any dispute, formal claim, or litigation with the Owner, nor any authority or organization with which the Owner has a vested interest. If this is not the case, please explain.
14. Provide a statement that the Applicant is not, nor any of its principals, currently disbarred from bidding on public entity work in any state.
15. Provide a statement that the Applicant has a Quality Control/Quality Assurance Program, with the date it was last updated. If this is not the case, please explain.
16. Provide a copy of the Applicant's Safety Program. Also provide the date it was last updated. If this is not the case, please explain.
17. Provide a statement that the Applicant endorses a drug free work place.
18. List all other projects currently under contract in the United States, the current contract amounts and scheduled completion dates.
19. Provide a copy of the company Worker's Comp insurance experience modifier ratio (EMR).
20. Provide a copy of the company OSHA 300 log for the past year.
21. Provide copies of Applicant's insurance policies with limits of liabilities for the following:
 - a. Worker's compensation
 - b. Employer's liability
 - c. Commercial General liability (combined single limit)
 - d. Business Automobile liability (combined single limit)
 - e. Environmental Impairment Insurance
 - f. General Umbrella Policies
 - g. Copies shall include effective date of policy, expiration date of policy, the carriers name and mailing information and the carriers rating.

22. Certificates of Insurance may be submitted in lieu of actual insurance policies. Certificates may read "For Information Purposes Only" and/or the Certificate Holder may be left blank.

1.5 SUPPLEMENTAL INFORMATION AND REQUIREMENTS

- A. The Owner will not be liable in any way for any costs incurred by any Applicant in the preparation of its Prequalification Application nor participation in any discussions.
- B. The Owner reserves the right to accept or reject any or all Prequalification applications. The Owner, upon receipt of the Application, shall have the right to investigate work performed by the Applicant on projects referenced by the Applicant, or any other projects performed by the Applicant. Investigations will be performed by Owner's personnel or its representatives as deemed appropriate by the Owner, which may include site visits and interviews with Project Owners and Construction Contract Administration staff.
- C. If a bid is to be submitted by a Joint Venture, a Prequalification Application shall be completed by each firm in the joint venture and shall be submitted in a single package along with a statement, copy of which is enclosed, executed by authorized representatives of each member firm of the Joint Venture, giving notice of the intent to bid as a joint venture on the Project. Joint Ventures will be evaluated on the combination of their qualifications. Bidders who prequalify to bid on this project may enter into a joint venture provided the above referenced statement is submitted to the Owner prior to the bid date.
- D. Application Format: The Application must include responses to all information requested. The Application shall address required documentation in the order identified above, indexed and clearly identified. Additional data may be submitted as deemed appropriate to support or augment the required documentation. Submittal shall be prepared on standard 8-1/2" x 11" letter size paper. No page limitation has been established for the application submission, but non-pertinent "boilerplate" materials are discouraged.
- E. Applicants will be advised of their Prequalification Application approval/rejection approximately one week after submission via Addendum.
- F. All qualified applicants will receive a list of qualified bidders.

END OF SECTION 00 11 53